

EXECUTION-ONLY SIPP APPLICATION FORM

Title Mr Mrs Ms Dr Surname

Forenames

Address

Postcode Number of years at current address

If fewer than 3 years please give previous address:

Address

Postcode

Home Telephone Mobile

Email Address

Date of Birth National Insurance No.

Retirement Age

The Hartley Execution-Only SIPP I wish to open is: (Please tick as appropriate)

Abacus SIPP Prosperity SIPP If you intend to immediately take benefits please tick

Marital Status (Please tick as appropriate)

Single Married/Civil Partnership Widowed Divorced Spouse's D.O.B

Employment Status (Please tick as appropriate)

Employed Self Employed Unemployed Retired
 Caring for a person aged 16 or over Full Timed Education Caring for a child under 16 Other

Annual personal income £

The Key Features Document contains important information regarding how the Hartley Pension SIPP Scheme works, its structure and the rules that apply to membership of the Scheme. This should be read prior to signing the application and if there is anything that you do not understand please contact us. The Trust Deed and Rules are available on request.

Please return completed form to:

Hartley Pensions Limited, 5th Floor, 25 Marsh Street, Bristol, BS1 4AQ. Authorised and regulated by the Financial Conduct Authority 735936 and registered in England and Wales 094695576. T:0117 316 9991 E: admin@hartleysas.co.uk W: www.hartleypensions.com



Full Driving Licence No. Issue date

Passport MRZ Number Expiry date

(This is the second long number at the bottom of the photo page, including the last 2 digits)

HMRC PROTECTION

If you have HMRC Protection and make a contribution in to your plan, the Protection may be lost which could result in a tax liability. It is recommended that you take advice from an Independent Financial Adviser.

Have you registered for HMRC Protection? Yes No

Where you have registered for HMRC Protection, please advise what type and attach a copy of the certificate.

Type of Protection Certificate Attached? Yes No

FEES

If there are insufficient pension funds to settle any fees from my SIPP, I agree to make alternative arrangements for settlement. The Administrator, Hartley-SAS Limited ("Hartley") is authorised to seek information from any third party necessary to establish this arrangement, and may disinvest any of the investments held for my benefit in order to pay such charges and any third party costs/fees relating to those investments. In return for the services provided, I agree that Hartley may deduct their initial and ongoing charges from my SIPP, as outlined in the Key Features Document.

SOURCE OF FUNDS

- | | |
|---|---|
| <input type="checkbox"/> Transfers from existing products | <input type="checkbox"/> Property Sale |
| <input type="checkbox"/> Income from Employment | <input type="checkbox"/> Sale of Investments |
| <input type="checkbox"/> Income from Savings and/or Investments | <input type="checkbox"/> Lottery / Gambling win |
| <input type="checkbox"/> Savings | <input type="checkbox"/> Divorce Settlement |
| <input type="checkbox"/> Gift or Inheritance | <input type="checkbox"/> Other (please specify below) |

TAX ENTITLEMENT

If you intend to make contributions in the future you will need to complete this section in order that Hartley can claim the correct tax relief. Please read the statement below and select the one that applies to you to enable us to establish whether you are eligible for tax relief or whether any limits apply:

- 1 I have relevant UK earnings chargeable to income tax or general earnings from Overseas Crown Employment subject to UK tax in this year;
- 2 I have, or will have been a resident in the UK at some point during this tax year;
- 3 My Spouse/Civil Partner, has general earnings from Overseas Crown Employment subject to UK tax for this year;
- 4 I, or my Spouse/Civil Partner, are in Overseas Crown Employment but for this tax year do not have relevant UK earnings subject to UK Tax;
- 5 None of the above.

- 1 or 2 Hartley will reclaim basic rate tax on your personal contributions.
 3 or 4 Hartley will reclaim basic rate tax on personal contributions up to £3,600 (Gross).
 5 Hartley will not reclaim any tax relief on personal contributions.

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DECLARATION

- I have read the Terms and Conditions and the applicable Key Features Document to the type of Hartley Pensions SIPP I wish to open. I have noted the applicable initial and ongoing charges involved in setting up and administering my SIPP. I hereby apply to Hartley Pensions Limited to become a member of the Hartley Pension Scheme and agree to be bound by the scheme's Trust Deed and Rules.
- I am applying for a Hartley SIPP on an execution-only basis and I confirm that I am not appointing a financial adviser.
- I agree to fully indemnify Hartley Pensions Limited (the Provider and Operator) and Hartley Pensions Trustees Limited (the Trustee) against any claim in respect of any investment decisions I make.
- I acknowledge that neither the SIPP Provider, Trustee nor Administrator give financial advice and that I have been recommended to seek advice in relation to the initial and ongoing suitability and appropriateness of the SIPP and its underlying investments.
- I confirm that the total gross contributions made to all UK registered pension schemes of which I am entitled to tax relief in any year will not exceed the higher of £3,600 gross or 100% of my UK relevant earnings.
- I will notify the Administrator if I am no longer entitled to tax relief on my contributions no later than the end of the tax year or within 30 days of from the date of change.
- I confirm that, to the best of my knowledge, the information provided on this Application Form and the accompanying forms are correct.

It is a serious offence to make false statements and doing so could lead to prosecution.

Signed

Date

INVESTMENT DETAILS

- I have read and understood the 'Bricklane.com Information Pack: SIPPs
- I have read and understood the REIT Prospectus
- I have read and agree to the terms as set out by Bricklane.com in the 'Investment terms' document

All documentation can be found on the Bricklane.com website

I instruct Hartley Pensions to invest the sum of into the Bricklane Regional Capitals REIT fund.

I would like my investment returns to be: (please tick what is appropriate)

- Paid in to my SIPP bank account Reinvested back into my REIT

WHAT HAPPENS NEXT?

When applying for a Hartley Pensions SIPP, you will either need to make a contribution or transfer in an existing pension from another provider, or both. Please complete the appropriate form(s) and include this when submitting this SIPP Application Form.

All forms can be found on our website www.hartleypensions.com/literature

Once we have opened your SIPP and it has been funded, we will send your funds as instructed above to Bricklane.com who will contact you to provide login details onto their platform so you can manage your investment.

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