

HIGH NET WORTH INVESTOR DECLARATION

Member Name:

Scheme Number:

I make this statement so that I can receive promotional communications which are exempt from the restriction on promotion of non-mainstream pooled investments. The exemption relates to certified high net worth investors and I declare that I qualify as such because at least one of the following applies to me:

- a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority;
- b) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority;
- c) by signing this statement I may lose significant rights, for example the right to,
 - i) complain to the Financial Conduct Authority
 - ii) complain to the Financial Ombudsman Scheme, or
 - (iii) complain and seek compensation from the Financial Services Compensation Scheme.

I am a certified high net worth individual because at least one of the following applies:

- a) I had, during the financial year immediately preceding the date below, an annual income to the value of £100,000 or more;
- b) I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include:
 - i) the property which is my primary residence or any loan secured on that residence;
 - ii) any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or
 - iii) any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependents are), or may be entitled.

I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all of the money or other property invested. I am aware that it is open to me to seek advice from an authorised person who specialises in advising on non-mainstream pooled investments.

Signed:

Date:

Investment Manager or Financial Adviser

I certify that I have carried out the relevant checks and have suitably certified the above named client as a High Net Worth individual.

Print Name:

Date:

Signed:

FCA Ref:

Company Name:

Please return completed form to:

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